



Hong Kong Securities Service Cost

Trading Service		
Service	Charges	Minimum / Maximum
Brokerage Commission	Subject to confirmation	Min: HK\$100.00/CNY85.00 Max: 1% on transaction amount
SFC Transaction Levy	0.0027% on transaction amount (rounded up to the nearest cent)	N/A
SEHK Trading Fee	0.005% on transaction amount (rounded up to the nearest cent)	N/A
HKSAR Stamp Duty	HK\$1.00 per HK1,000 transaction amount or the part thereof (rounded up to the nearest dollar)	N/A
CCASS Stock Settlement Fee	0.002% on transaction amount (rounded up to the nearest cent)	Min: HK\$2.00/CNY2.00 Max: HK\$100.00/CNY100.00

Scrip Handling and Settlement-Related Services		
Service	Charges	Minimum / Maximum
Money Settlement Fee	Free of Charge	N/A
Transfer Deed Stamp Duty	HK\$5.00 per transfer deed	N/A
Physical Deposit of Stock	Free of Charge	N/A
Physical Withdrawal of Stock	HK\$5.00 per lot	Min: HK\$30.00
Settlement Instruction (SI) - Receipt	Free of Charge	N/A
Settlement Instruction (SI) - Delivery	0.05% on stock market value of previous trading day	Min: HK\$30.00
Investor Settlement Instruction (SI) - Receipt	Free of Charge	N/A
Investor Settlement Instruction (SI) - Delivery	HK\$30.00 per stock	N/A
Compulsory Share Buy-Back Fees	Amounts specified by HKSCC or 0.25% on transaction amount on short sell settlement date	N/A

Information Services		
Service	Charges	Minimum / Maximum
Online Real-Time Stock Quote	HK\$360.00 per month (Streaming Data) HK\$160.00 per month (Streaming Data – China Only) HK\$0.13 per click (Snapshot)	N/A
Short Message Services Fee	N/A	N/A

Nominee & Custodian Services		
Service	Charges	Minimum / Maximum
CCASS Registration Scrip Fee	HK\$1.50 per lot	N/A
Dividend Collection Fee (Cash / Scrip)	0.5% on dividend amount	Min: HK\$30.00
Bonus Shares Collection Fee	HK\$5.00 per lot	Min: HK\$30.00
Handling charge for unclaimed entitlements (Cash Dividend / Bonus Shares)	1% on unclaimed amount & HK\$200.00 per Share Registrar Office Confirmation (if necessary)	Min: HK\$500.00
Collection Fee for Rights Shares & Bonus Warrants	HK\$2.50 per lot	Min: HK\$30.00
Corporate Action Service Fee (warrant conversion, rights subscription, takeover, tender offer, open offer etc.)	HK\$2.50 per lot	Min: HK\$30.00
Handling Fee for Share Subdivision, Consolidation, Conversion	Free of Charge	N/A

Account Maintenance Services		
Service	Charges	Minimum / Maximum
Stock Custody Fee	Free of Charge	N/A
Account Maintenance Fee	Free of Charge	N/A
Dormant Account Fee	Free of Charge	N/A
Stock Balance or Account Confirmation Fee (Audit Confirmation Fee)	HK\$200.00 per account	N/A
Stock Segregated Account with CCASS Statement Service Fee	At cost, HK\$10.00 monthly per account	N/A

Financing & Other Services		
Service	Charges	
IPO Application Fee	HK\$100.00 per application (plus brokerage fee of 1%, SFC transaction levy of 0.0027% and SEHK trading fee of 0.005%)	
IPO Financing Handling Fee	HK\$200.00 per application (plus brokerage fee of 1%, SFC transaction levy of 0.0027% and SEHK trading fee of 0.005%)	
IPO Financing Interest	Subject to confirmation	
Cash Account Overdue Handling Fee	HK\$100.00 per transaction & an extra HK\$100.00 on month end	
Cash Account Overdue Interest	Standard Chartered HKD Prime Rate +10% on overdue amount	
Margin Account Financing Charge	Standard Chartered HKD Prime Rate +3% on overdue amount	
Bank Service Charge	Self-pick Up of Cheque : Free of Charge Cheque Deposit : HK\$50.00 per transaction Local CHATS : HK\$50.00 per transaction Overseas Remittance : HK\$250.00 per transaction or subject to paying bank Admin Fee of Bounced Cheque : HK\$500.00 per transaction and penalty interest Transfer via KVB Kunlun's associated company: HK\$100.00 per transaction	
Reprint Daily Statement	Within 1 month : Free of Charge Over 1 month : HK\$100.00 per copy Over 12 months : HK\$200.00 per copy	
Reprint Monthly Statement	Within 3 months : Free of Charge Over 3 months : HK\$100.00 per copy Over 12 months : HK\$200.00 per copy	

Updated on OCT 2015

Remarks: All the above fees are subject to change without prior notice.