



KVB Kunlun
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Disclosure Statement (免责声明)

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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorized, financial adviser.

I can give you advice about:

- Broking services
- Changing foreign currency
- Providing forward foreign exchange contracts
- Entering into or trading on an exchange, in an over-the-counter market or otherwise service

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell my internal complaints scheme so that my internal complaints scheme can try to fix the problem.

You may contact the internal complaints scheme by putting the complaint in writing to our Group Head of Risk and Compliance at:

If by Post: PO Box 105 438 Auckland, New Zealand
If by email: RiskTeam@kvbkunlun.com
If by Fax: Fax. +64 9 359 8989

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Dispute Resolution. This service will cost you nothing, and will help us resolve any disagreements. You can contact Financial Dispute Resolution at:

Address: Freepost 231075

P.O. Box 5730
Wellington 6145

Level 9
109 Featherston Street
Wellington 6011

Telephone number:

0508 337 337

Web:

www.fdr.org.nz

Email

enquiries@fdr.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>. The Securities Commission regulates financial advisers. Contact the Securities Commission for more information, including financial tips and warnings. You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under What should you do if something goes wrong?)

Declaration

I, Howard Wallace Dean Wilcox, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

