



KVB Kunlun  
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Insight · Innovation · Investment



# KVB Kunlun

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## KVB KUNLUN

KVB Kunlun is an international financial services corporation with operations in Auckland, Sydney, Melbourne, Toronto, Beijing and Hong Kong. We pride ourselves on our professional and disciplined approach to financial markets, as is evidenced by the granting of licenses from regulators in New Zealand, Australia and Hong Kong.

We are a global team of financial specialists dedicated to providing our clients with truly visionary investment services. The initials in our name - KVB - remind us of our mission to use our expert knowledge and versatility to break the barriers of traditional investment services, revolutionizing our clients' investment experiences.

As an Asian financial products & services specialist in Australasia and other countries, KVB Kunlun specialises in foreign exchange and transfer services in all major currencies, including CNY, offshore CNY (Chinese Currency) settlements, margin foreign exchange and index trading, commodities trading, Hong Kong and international securities trading, integrated treasury management and professional financial information.

These advantages allow us to provide innovation and insight to your investment decisions.

# GLOBAL FINANCIAL SERVICES PROVIDER



## Accreditation



### ASIC

Australian Securities & Investments Commission

Australian Financial Services Licence ( Lic#: 226602 )

Australian Financial Services Licence ( Lic#: 319738 )

issued by

Australian Securities & Investment Commission ( ASIC )



SFC

Leveraged Foreign Exchange Trading Licence ( CE#: ALB893 )

Dealing in Securities Licence ( CE#: AQG528 )

Advising on Securities Licence ( CE#: AQG577 )

Advising on Asset Management Licence ( CE#: AQG577 )

issued by

Securities and Futures Commission of Hong Kong ( SFC )

Participant of HKEx



### SECURITIES COMMISSION

NEW ZEALAND \*

Authorised Futures Dealer

issued by

Securities Commission of New Zealand\*

NZX Advising Participant



China Bank Regulation Commission










# PRODUCTS AND SERVICES



There are people's footprints for business and trading around the world, where the demands for foreign exchange, international currency settlement and global payment become indispensable. KVB Kunlun works closely with various international banks and financial institutions to provide the most favourable exchange rate and foreign exchange settlement to our customers. We break through both time and geographical barriers to meet the demands and needs of importers, exporters, small and medium enterprises, international travellers, immigrants and overseas students.

## \$ Currency Exchange and Transfer Services

KVB Kunlun has a global foreign exchange network providing our individual and corporate clients with an all-round reliable, fast and secure foreign exchange remittance and settlement process covering all major world currencies.

|   |     |   |     |
|---|-----|---|-----|
|  | CNY |  | HKD |
|  | AUD |  | JPY |
|  | CAD |  | NZD |
|  | EUR |  | SGD |
|  | GBP |  | USD |

## Foreign Exchange Forward Contracts Non-Deliverable Forward Contracts Foreign Exchange Swap Contracts

With the enormous increase in international trade and cross border capital flow led by the trend of globalization, the foreign exchange market continues to show great liquidity but also remains volatile. Understanding the impact of this volatility on investment strategies and exposures, KVB Kunlun offers corporate clients a full range of risk management products including Foreign Exchange Forward Contracts, Non-Deliverable Forward Contracts and Swap Contracts with flexibility in contract dates and amount. These products help our clients to better hedge their currency risks and allow them to utilize their capital effectively.



## Offshore CNY



KVB Kunlun is a forerunner and one of the largest trading parties of CNY business in Australasia and North America. With the opening of the Mainland China market and rapid economic growth, there is a strong demand for CNY business from both individual and corporate clients. KVB specializes in flexible and convenient offshore CNY services to our overseas clients which include CNY exchange, remittance, CNY non-deliverable foreign exchange forward contracts, dual currency credit card etc. that allow our clients to have flexibility in cash and capital flow. KVB Kunlun is your best choice for offshore CNY business.

## White Label Partnership

After nearly ten years of experience, KVB Kunlun has accumulated abundant operational and managerial experiences in the areas of foreign exchange and international settlement. We have built a stable and successful business model. We understand the market prospects and potential scale the business has. Therefore we specially designed a White Label Partnership ( WLP ) for business partners around the world to share our established business model and resources in the foreign exchange and international financial markets.

WLP partners can utilize the customized solutions KVB Kunlun provide to set up a new business or to supplement their existing businesses. White Label Partnership can have independent operation rights, and also gain advanced management know-how and daily operational support from one of the industry leaders. Our cooperative areas are not only limited to foreign currency exchange, but can also extend to margin foreign exchange trading, securities trading, asset management etc. KVB Kunlun is your most trustworthy business partner.

# PRODUCTS AND SERVICES

## Global Investment

(Commodities Trading,  
Margin FX Trading,  
Index Trading)



Leveraged trading instruments offered by KVB Kunlun range from foreign exchange, commodities to indexes.

KVB Kunlun launched the first bilingual (English and Chinese) online electronic trading system in Australasia in 2003, and the first mobile trading platform in the Asia Pacific region in 2005. In 2006, KVB Kunlun became a global frontrunner in launching leveraged foreign exchange cross-trading in AUDCNY and NZDCNY, thereby offering instruments to the investor for their risk hedging and investment preservation in CNY.

Being one of the major market players, KVB Kunlun is committed to providing an extremely competitive bid/ask spread, a secure and reliable trading platform, rigid operational procedures together with high quality ancillary services in order to assist our clients achieve their investment objectives and maximize their profits<sup>#</sup>.

## Trading Strength and Advantages

### ☛ Sound Financial Strength and Foundation

KVB Kunlun is accredited with professional licenses and recognitions from the financial regulatory bodies in Australia, New Zealand and Hong Kong. Clients' funds are kept in an independent account and are subject to segregated account management to ensure a high level of security and reliability.

### ☂ 24-hour Worldwide Customer Support Hotline

KVB Kunlun provides 24-hour client service in Mandarin, Cantonese and English to serve our Margin clients' needs. You are able to manage your financial positions anytime and anywhere around the world by simply making a phone call.

### ★ Sophisticated Trading System – **ForexStar**<sup>®</sup> Online Electronic Trading System

KVB Kunlun offers various types of tradeable instruments including over 20 different currency trading pairs, global indexes including the Dow Jones, S&P 500, Nikkei 225 Index and Hong Kong Hang Seng Index, as well as commodities such as gold, silver and crude oil.

KVB Kunlun offers accounts in USD, AUD and NZD thereby assisting our clients for their convenience.

KVB Kunlun provides you with the real time balance in your trading account, the gain/loss in position, the leverage percentage, etc. Clients receive real time trading confirmation, and account statements on a daily and monthly basis detailing all trade orders and account information.

# Securities Trading

(Hong Kong, United States,  
New Zealand & Australia  
Securities Trading)



KVB Kunlun offers trading on Hong Kong securities as the future global development is taking place in China. We also offer trading on other international stock markets.

## Hong Kong Stocks Trading Platform

The Hong Kong stocks online trading platform is easy and simple to use allowing you to buy and/or sell Hong Kong stocks on your own. Clients can also choose to dial a direct toll-free hotline where our service teams are always ready to accept your order, execute the trade and answer any account enquiries, or arrange and handle other trading matters via telephone making it extremely simple and easy to invest and trade in Hong Kong stocks.

KVB Kunlun offers professional investment advisory services and securities trading on the major exchanges in the United States, Hong Kong, New Zealand, Australia, and other countries. We provide comprehensive analysis on local and global stock markets. A high level of professional service is offered by our team of well qualified and experienced advisors catering to the specific needs of each investor.

### Scope of Products and Services in KVB Kunlun Securities

|                     | HK Securities | US/NZ/AU & other Securities Markets |
|---------------------|---------------|-------------------------------------|
| Internet Trading    | √             | √ (Excluding NZ)                    |
| Telephone Trading   | √             | √                                   |
| Margin Financing    | √             | √ (Excluding NZ)                    |
| All Listed Products | √             | √                                   |
| IPO                 | √             | √                                   |

# PRODUCTS AND SERVICES



Technology is the cornerstone of the modern financial industry where we have seen technological advancements stimulate innovations in financial products and services. KVB Kunlun continues to strive in this field to apply up-to-date research on products, services and innovations, and develop a multi-level and secure trading platform which includes a settlement and risk-management system. This not only satisfies the trading needs of our clients but also provides an all-round financial solution to our corporate clients.

## ForexStar Online Trading Platform

KVB Kunlun launched the ForexStar online trading platform in Australasia in 2003. It offers Chinese and English bilingual interface, on which you are able to trade various currencies trading pairs, use charts and functions on aggregated foreign exchange portfolio and risk management. Investors can trade foreign exchange, commodities and indexes via internet or telephone 24 hours a day from anywhere around the world.

## ForexStar Mobile Trading Platform

ForexStar mobile trading platform takes the lead and achieves a breakthrough in foreign exchange trading complementing internet and telephone trading. It brings financial product trading instruments to the new technological world.

Clients can connect to KVB Kunlun ForexStar mobile trading platform through a Pocket PC with internet access and retrieve updated news, professional commentary, price, chart and trend analysis, as well as trade execution records etc. on foreign exchange, commodities and indexes. It allows clients to be up to date with global financial market trends at all times and to stay ahead in such a volatile and competitive market.



# Treasury and Risk Management



## E-Treasury Star

Treasury management is a prominent product among KVB Kunlun's business. We utilize a leading system, E-Treasury Star as an integrated treasury management system targeting multinational corporations, importers, exporters and government agencies as major clients. The system has a fully integrated front to middle and back-office operations structure that covers various capital market and derivative products. It provides Cash Management, Risk Management and offers all-round solutions of integrated treasury management in currencies, cash and securities portfolio, on-shore and off-shore cash liquidity, etc. KVB Kunlun also provides professional training in relevant operations.

### Direct Account Management via Internet

E-Treasury Star Integrated Treasury System is developed on the basis of internet usage and achieves breakthrough in the traditional treasury system by using the ASP mode. The system is user-friendly and easy to maintain; and it supports multiple concurrent remote access.

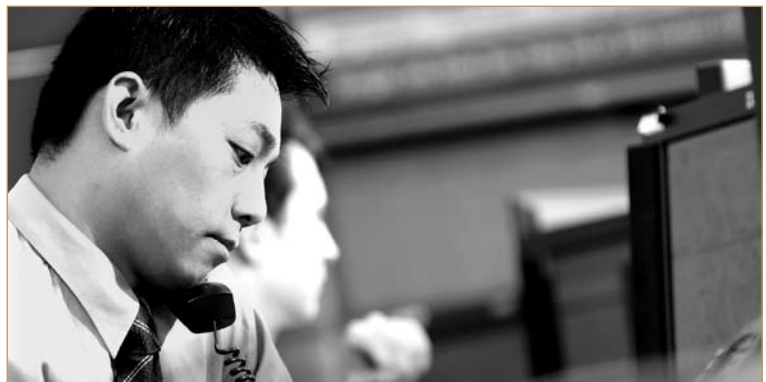
### Keep Updated on Aggregated Asset Information

E-Treasury Star Integrated Treasury System offers aggregated treasury information and trading details with financial services institutions, and automatically links with a third party data feed provider (such as Bloomberg, Reuters, etc.). It enables clients to manage the core issues such as aggregated cash positions, position exposure, floating profit and loss, etc. giving the user a better understanding of aggregated asset / liability exposures in return for better cost and risk control.

### Strict Security Protection

E-Treasury Star Integrated Treasury System has multi-level security protections providing safety to the system and clients' assets.

# PRODUCTS AND SERVICES



## Professional Financial Website

Providing information in English, Chinese and Japanese, KVB Kunlun's website has one of the highest hit rates among the professional financial services websites in Australia and New Zealand.

[www.kvbkunlun.com](http://www.kvbkunlun.com)



## Real-time, Accurate Financial Information

Round-the-clock updates with over 200 international financial markets news and information, enable you to grasp the international financial market trends at all times.

## Professional and Insightful Financial Analysis

Front line traders and top rank financial analysts as well as leading economists provide professional financial information from different angles which can assist in achieving higher investment returns.

## Local Information in Australia, New Zealand and Canada

KVB Kunlun fully understands the needs of overseas Chinese and has developed special channels to provide information about the local Chinese community allowing our clients to enjoy their lives in the country in which they live.

# Trading Partners



## Trading Partners

Deutsche Bank

UBS

Bank of China

ICBC

The National Bank of New Zealand

ASB Bank

Bank of New Zealand

Citibank

Bank of Montreal

Toronto-Dominion Bank

## Compliance Reporter

PRICEWATERHOUSECOOPERS 

## Regulatory Reporter

Australian Securities & Investments Commission (ASIC)

Securities and Futures Commission of Hong Kong (SFC)

Securities Commission of New Zealand\*

China Banking Regulatory Commission

### Risk Disclaimer:

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New Zealand Investors: - Investment Adviser and Investment Broker Disclosure Statements (under the Securities Markets Act 1988) and Product Disclosure Statement (if applicable) are available from KVB Kunlun New Zealand Limited on request and free of charge.

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**Auckland . New Zealand**

Level 10, The National Bank Tower, 205 Queen Street  
Auckland, New Zealand

Tel : +64 9 359 8988  
Fax : +64 9 359 8989  
Email : fx@kvbkunlun.com

**Sydney . Australia**

Level 18, Citigroup Centre, 2 Park Street  
Sydney, NSW 2000, Australia

Australia Toll Free : 1300 668 120  
Tel : +61 2 8263 0188  
Fax : +61 2 8263 0189  
Email : info@kvbkunlun.com

**Melbourne . Australia**

Level 38, 120 Collins Street  
Melbourne, VIC 3000, Australia

Australia Toll Free : 1300 668 120  
Tel : +61 3 9660 1888  
Fax : +61 3 9660 1889  
Email : melcs@kvbkunlun.com

**Hong Kong . China**

75/F, International Commerce Centre, 1 Austin Road West  
Kowloon, Hong Kong

China Toll Free : 4008 888822  
Tel : +852 3120 8888  
Fax : +852 2828 3555  
Email : hkcs@kvbkunlun.com

**Beijing . China**

Level 16, Tower A, Gateway Plaza, 18 Xiaguangli North Road  
East Third Ring, Chaoyang District  
Beijing, 100027, China

Tel : +86 10 5922 2088  
Fax : +86 10 5829 9766  
Email : bjinfo@kvbkunlun.com

**Toronto . Canada**

3600 Exchange Tower, 130 King Street West  
Toronto, Ontario, M5X 1B1, Canada

Tel : +1 416 646 9188  
Fax : +1 416 646 9199  
Email : cainfo@kvbkunlun.com

[www.kvbkunlun.com](http://www.kvbkunlun.com)